

Quality Management Review Citation List

Issue	Code	Citation Detail	Federal Part C Regulations	Code of Virginia	Medicaid Regulations	DMAS Manual	ITCVA Practice Manual	Part C Local Contract
ASP	34	Assessment for Service Planning (ASP) did not meet requirements	34 CFR 303.321(c)	12VAC 35-225-110		Chapter IV and VI	Chapters 6, 7 and 8	
Billing	2	Service LENGTH does not match LENGTH billed				Chapter V	Chapter 11	2.1(a)(6); 2.1.2(c)(9)(a)
Billing	3	Service DATE does not match DATE billed				Chapter V	Chapter 11	2.1(a)(6); 2.1.2(c)(9)(a)
Billing	4	Reimbursement EXCEEDS allowable amount (TPL + Medicaid)				Chapter V	Chapter 11	2.1(a)(6); 2.1.2(c)(9)(a)
Documentation	5	Service LENGTH not documented		12VAC 35-225-180(B)(3) - Emergency Regs		Chapter VI	Chapter 9	2.1(a)(6)
Documentation	6	Service DATE not documented				Chapter VI	Chapter 9	2.1(a)(6)
Documentation	7	NO DOCUMENTATION of service provided/billed		12 VAC 35-225-180(A) - Emergency Regs		Chapter VI	Chapter 9	2.1(a)(6)
Documentation	8	Child NAME not documented		12VAC 35-225-180(A)(1) - Emergency Regs		Chapter VI	Chapter 9	2.1(a)(6)
Documentation	9	Provider SIGNATURE and CREDENTIALS not documented (electronic signature okay for EHR)		12VAC 35-225-180(A)(1) - Emergency Regs		Chapter VI	Chapter 9	2.1(a)(6)
Documentation	10	Provider TIME not documented		12VAC 35-225-180(B)(3) - Emergency Regs		Chapter VI	Chapter 9	2.1(a)(6)
Documentation	11	Parent/guardian signature (i.e., consent) not documented (IFSP/Reviews)	34 CFR 303.420(a)(3)	12VAC 35-225-130(A)		Chapter IV	Chapter 7	2.1(a)(6)
Documentation	12	What the family or caregiver did during the session, including how they actively participated during the session was not documented		12VAC 35-225-180 (B) (1) Emergency Regs		Chapter VI	Chapter 7	2.1(a)(6)
Documentation	13	Unable to determine if session provided face-to-face		12VAC 35-225-180(B)(1) - Emergency Regs		Chapter VI	Chapter 9	2.1(a)(6)
Documentation	15	Service length or frequency does not match IFSP w/out explanation	34 CFR 303.340; 34 CFR 303.13(a)(9)	12VAC 35-225-170(D) and 280(C)(4)		Chapter VI	Chapter 8	2.1(a)(6)
Documentation	16	Provider activities not specified or inadequately documented in the narrative about what was done during the session and suggestions for follow up		12VAC 35-225-180(B)(1) - Emergency Regs		Chapter VI	Chapter 9	2.1(a)(6)
Documentation	32	Location of service (e.g., not documented, not N/E, not specified on IFSP, not billed appropriately)		12VAC 35-225-180(B)(4), 12VAC 35-225-170(D) - Emergency Regs		Chapter VI	Chapters 8 and 9	

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Documentation	35	How child responded to interventions during the session (including what the child was able to do in relation to outcomes and goals) is not documented		12VAC 35-225-180(B)(1) - Emergency Regs		Chapter VI	Chapter 9	
Documentation	36	Information from caregiver about what has happened since last session not documented		12VAC 35-225-180(B)(1) - Emergency Regs		Chapter VI	Chapter 9	
Documentation	37	Plan for next contact not documented		12VAC 35-225-180(B)(1) - Emergency Regs		Chapter VI	Chapter 9	
Documentation	38	Type of service not documented		12VAC 35-225-180(A)(2) - Emergency Regs		Chapter VI	Chapter 9	
Documentation	39	Incorrect billing code				Chapter V and VI	Chapter 11	2.1(a)(6); 2.1.2(c)(9)(a)
Documentation	40	Note signed/dated more than five (5) days after service delivery				Chapter VI	Chapter 9	
Eligibility	17	ELIGIBILITY documentation missing/expired AND it is NOT CLEAR that child meets eligibility criteria	34 CFR 303.321(a)(2)(i)	12VAC 35-225-90(G) and 150(B)		Chapter IV	Chapter 5	1.0; 2.1(a)(6)
Eligibility	18	Child NOT ELIGIBLE for EI	34 CFR 303.21(a); 34 CFR 303.322	12VAC35-220-20		Chapter IV	Chapter 5	1.0; 2.1(a)(6)
IFSP	19	Service not listed on IFSP	34CFR 303.344(2)(d)	12VAC 35-225-120(B)(6) and 170(D)		Chapter IV	Chapter 7	2.1(a)(6)
IFSP	20	Service unrelated to ASP and/or outcomes	34 CFR 303.20; 34 CFR 303.21(a); 34 CFR 303.344(d)(1)	12VAC 35-225-120(B)(6)		Chapter IV	Chapter 7	1.0; 2.1(a)(6)
IFSP	33	IFSP expired	34 CFR 303.342	12VAC 35-225-140		Chapter IV	Chapter 8	
MD Certification	21	MD certification missing/expired/late		12VAC30-50-131 B.5		Chapter IV	Chapter 7	2.1(a)(6); 2.1(a)(8)
Provider Qualifications	22	Provider EI certification missing/expired	20 USC 1435(a)(9); 34 CFR 303.22; 34 CFR 303.12(a)(3)(ii); 34 CFR 303.361(a)(4)	12VAC35-220-30; 12VAC30-50-131.D		Chapter II, IV and VI	Chapter 12	1.0, 2.1.3; 2.1(a)(6); 2.1.2(12)
Provider Qualifications	23	Provider licensure/certification missing/expired	20 USC 1435(a)(9); 34 CFR 303.22; 34 CFR 303.12(a)(ii); 34 CFR 303.361(a)(1)(i);	12VAC35-220-30; 12VAC30-50-131.D	42 CFR 440.110; 42 CFR 484.4	Chapter II, IV and VI	Chapter 12	2.1.3; 2.1(a)(6); 2.1.2(12); 2.1.3
Provider Qualifications	24	Insufficient/missing documentation re: supervision of EI specialist	20 USC 1435(a)(9); 34 CFR 303.361(f)	12VAC35-220-40		Chapter VI	Chapter 12	2.1(a)(6); 2.1.2.(12); 2.1.3

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Provider Qualifications	30	Kaliedoscope training requirements not met				Chapter VI	Chapter 12	2.1.3 (5)
TCM	25	No initial Service Coordination Plan or IFSP SC outcomes documented	34 CFR 303.340; 34 CFR 303.344(1)©	12 VAC35-225-60(B)(6) and 280(D)(3)		Chapter IV and VI	Chapters 4 and 9	2.1(a)(6)
TCM	26	Observation of child during month of initial or annual IFSP not documented		12VAC30-50-415D.2.	42 CFR 441.18(a)(7)	Chapter IV and VI	Chapters 8 and 11	2.1(a)(6)
TCM	27	Request for Health Status Indicators w/in previous 7 months not documented		12VAC 35-225-170(C)(5)(c), 12VAC 35-225-280(A)(8) - Emergency Regs		Chapter IV and VI	Chapters 7, 8 and 11	2.1(a)(6)
TCM	28	Direct communication w/ parent/guardian every 3 months not documented		12VAC30-50-415. D.4, 12VAC 35-225-160 (C) b	42 CFR 441.18(a)(7)	Chapter IV and VI	Chapters 8 and 11	2.1(a)(6)
TCM	29	Billable SC activity not documented		12VAC 30-50-415 H.	42 CFR 441.18(a)(7)	Chapter IV and VI	Chapters 8 and 11	2.1(a)(6)